



30.05.2023

Department of Corporate Affairs
BSE Limited, PJ Towers
Dalal Street, Fort,
Mumbai – 400 001.

Scrip Code: 506808

Dear Sir,

Sub: Submission of Annual Secretarial Compliance Report for the year ended 31.03.2023

Pursuant to Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing the Annual Secretarial Compliance Report issued by KRA & Associates, Practicing Company Secretaries for the year ended 31.03.2023.

We request you to kindly take the above on record.

Yours Faithfully,

For **Tuticorin Alkali Chemicals and
Fertilizers Limited**

CHATHAPURAM Digitally signed by
SADASIVAN CHATHAPURAM SADASIVAN
VIJAYALAKSHMI
VIJAYALAKSHMI Date: 2023.05.30 19:10:21
+05'30'

**C.S.Vijayalakshmi
Company Secretary**

Encl: As above

Tuticorin Alkali Chemicals and Fertilizers Limited

88 Mount Road Guindy Chennai 600 032 India Tel +91 44 22352513 Fax +91 44 22352163
CIN: L24119TN1971PLC006083 Email · info@tacfert.com Website: www.tacfert.in

KRA & ASSOCIATES

PRACTICING COMPANY SECRETARIES

PARTNERS

CS R.KANNAN M.Com., GDMM, ACMA, F.C.S

CS AISHWARYA ACS

Registered Office : No. 6A, 10th Street,
New Colony, Adambakkam, Chennai-60001

Phone: 044-435593

Email ID: gkrkgram@yahoo

Secretarial Compliance Report of Tuticorin Alkali Chemicals And Fertilizers Ltd for the financial year ended 31/03/2023

We KRA & ASSOCIATES have examined:

(a) all the documents and records made available to us and explanation provided by Tuticorin Alkali Chemicals And Fertilizers Ltd ("the listed entity"),
(b) the filings/ submissions made by the listed entity to the stock exchanges,
(c) website of the listed entity,
(d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31/03/2023 in respect of compliance with the provisions of :

(a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; - Not applicable
(e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021; - Not applicable
(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; - Not applicable
(g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021; - Not applicable
(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;



A handwritten signature in blue ink, appearing to be "R. Kannan", written over the stamp.

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and circulars/ guidelines issued thereunder;

We hereby report that, during the Review Period the compliance status of the listed entity is appended as below:

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations /Remarks by PCS*
1.	Secretarial Standards: The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI), as notified by the Central Government under section 118(10) of the Companies Act, 2013 and mandatorily applicable.	YES	-
2.	Adoption and timely updation of the Policies: <ul style="list-style-type: none">All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entitiesAll the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations/circulars/guidelines issued by SEBI	YES	-
3.	Maintenance and disclosures on Website: <ul style="list-style-type: none">The Listed entity is maintaining a functional websiteTimely dissemination of the documents/ information under a separate section on the websiteWeb-links provided in annual corporate governance reports under Regulation 27(2) are accurate and	YES	-



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	specific which re- directs to the relevant document(s)/ section of the website		
4.	Disqualification of Director: None of the Director(s) of the Company is /are disqualified under Section 164 of Companies Act, 2013 as confirmed by the listed entity	YES	-
5.	Details related to Subsidiaries of listed entities have been examined w.r.t.: (a) Identification of material subsidiary companies (b) Disclosure requirement of material as well as other subsidiaries	YES	-
6.	Preservation of Documents: The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.	YES	-
7.	Performance Evaluation: The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations	YES	-
8.	Related Party Transactions: (a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or (b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved /ratified/rejected by the Audit Committee, in case no prior approval has been obtained.	YES	-



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9.	Disclosure of events or information: The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	YES	-
10.	Prohibition of Insider Trading: The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.	YES	-
11.	Actions taken by SEBI or Stock Exchange(s), if any: No action(s) has been taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder except as provided under separate paragraph herein.	No	BSE -Fines Regulation 23(9)-17,700 Regulation 29(2)-11,800
12.	Additional Non-compliances, if any: No additional non-compliance observed for any SEBI regulation/circular/guidance note etc.	NO	<ul style="list-style-type: none"> • Delay in furnishing prior intimation about the meeting of the board of directors for the month of October 2022 Quarterly Results. • Late submission of disclosure of related party transactions on consolidated basis for half year ended September 2022.

Compliances related to resignation of statutory auditors from listed entities and their material subsidiaries as per SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019: Not applicable



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Annexure

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below:

Sr. No	Compliance Requirement (Regulations/circulars/guide-lines including specific clause)	Regulation/Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations / Remarks of the Practicing Company Secretary	Management Response	Remarks
1.	Reg.29 SEBI(Listing Obligations and Disclosure Requirements), 2015	29(2)	Delay in furnishing prior intimation about the meeting of the board of directors for the month of October 2022 Quarterly Results.	BSE	Fine	Delay in furnishing prior intimation about the meeting of the board of directors for the month of October 2022 Quarterly Results.	11,800	The company has to give prior intimation within the stipulated time limit under regulation 29.	Due to oversight, five days of prior intimation was calculated as including the date of intimation and date of board meeting.	The Company has promptly settled the penalty imposed by the Stock exchange during the year.



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2. Regulation 23 (9) SEBI(Listing Obligations and Disclosure Requirements) Regulations, 2015	23(9)	Late submission of disclosure of related party transactions on consolidated basis for half year ended September 2022.	BSE	Fine	Late submission of disclosure of related party transactions on consolidated basis for half year ended September 2022.	17,700	The company has disclose the Related Party transactions within the prescribed time limit under regulation 23(9).	The Company Secretary was hospitalized didn't have access to laptop/inter net. Once access was obtained, the report was uploaded.	The Company has promptly settled the penalty imposed by the Stock exchange during the year.
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(b) The listed entity has taken the following actions to comply with the observations made in previous reports:

We are pleased to report that during the audit period of 2021-2022, the company has taken appropriate actions to address any identified issues and ensure compliance with applicable regulations and standards. We are pleased to state that no further observations or remark in this regard.

Place: Chennai

Date: 16.05.2023

For KRA & ASSOCIATES



R. KANNAN

FCS No.:6718

CP No.:3363

UDIN: F006718E000312100

PR No : 1847/2022