

Ref: SEC/2020-21/Q2 12.10.2020

The Secretary, Listing Department **BSE** Limited Phirozeleejeebhoy Towers, Dalal Street, Mumbai - 400 001.

Scrip code: 506808

Dear Sir,

Sub: Submission of Listing Compliance as per Reg. 40 (9) & 40 (10) of SEBI (LODR), 2015

We are enclosing herewith Certificate under Regulation 40 (9) & 49 (10) of SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015, issued by a practicing Company Secretary for the half year ended 30.09.2020.

Kindly take on record of the above

Thanking You,

Yours faithfully,

For Tuticorin Alkali Chemicals and Fertilizers Limited

WALTER VASANTH
DIGTAH PILLAI JOSEPH
DEVA
JOSEPH DEVA
AGAYAM, o. o.
AGAYAM, o. o.
AGAYAM, o. o.

om, c=IN Date: 2020.10.12 17:32:11 +05'30'

Walter Vasanth P J **Company Secretary** 



New No. 44, Old No. 25, Flat No.3, Thiruvarangam Apartments, 1st Floor, Unnamalai Ammal Street, T-Nagar, Chennai -600 017.

Phone: 044 - 42166988 email: sridharan.r@aryes.in

web : www.aryes.in

10<sup>TH</sup> October, 2020

## COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020

ISIN No. INE400A01014 SECURITY CODE: 506808

The Securities and Exchange Board of India vide gazette notification dated 08<sup>th</sup>June, 2018 and its press release on 03<sup>rd</sup> December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and mandated that the transfer of securities could be carried out in dematerialized form only w.e.f. 01<sup>st</sup>April, 2019. Further SEBI vide its press release dated 27<sup>th</sup>March, 2019 clarified that the transfer deed(s) lodged prior to the above deadline and returned due to deficiency in the document(s)t may be re-lodged for transfer even after the stipulated deadline of 01<sup>st</sup> April, 2019.

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents, relating to Tuticorin Alkali Chemicals and Fertilizers Limited, having its Registered Office at "SPIC House", 88, Mount Road, Guindy, Chennai 600 032 maintained by its Registrar and Share Transfer Agent, viz., Cameo Corporate Services Limited having its office at "Subramanian Building", No.1, Club House Road, Chennai – 600 002 pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for the purpose of issuing a Compliance Certificate under Regulation 40 (9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Uniform Listing Agreement entered into by Tuticorin Alkali Chemicals and Fertilizers Limited with the BSE Limited and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief, the Company has in relation to the half year ended 30<sup>th</sup> September, 2020 has not received any request(s) for Transfer, Split, Transposition, Change in name, Issue of Duplicate share certificates and Consolidation of share certificate(s).

During the aforementioned period, **Tuticorin Alkali Chemicals and Fertilizers Limited** has received requests for Transmission and the same has been delivered within the stipulated time period as stated in the LODR Regulations, 2015.

For R. SRIDHARAN & ASSOCIATES
COMPANY SECRETARIES

CS R.SRIDHARAN CP.No.3239 FCS No.4775

UIN: S2003TN063400 UDIN:F004775B000904399